



2000U034.US
09/715,775

"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS
ORIGINALLY FILED

APPLICANT: Kao, Sun-Chueh

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EXAMINER: Lee, Rip A.

SERIAL NO.: 09/715,775

GROUP ART UNIT: 1713

FILED: 11/17/2000

ATTY. DOCKET NO.: 2000U034.US

FOR: Method for Preparing a Catalyst
Composition and its use in a
Polymerization Process

DATE: September 9, 2002

Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

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CLASSIFICATION ROOM

AMENDMENT

Sir:

Applicant respectfully requests entry of the amendments and consideration of the remarks to follow pursuant to the request of the Examiner in an action dated August 12, 2002.

IN THE CLAIMS

Cancel Claims 1-22. Add new Claims 23-53 as follows:

23. (New) A process for polymerizing olefin(s) comprising the steps of:
- (a) preparing a catalyst composition by the steps of combining the following components:
 - (i) first combining a catalyst compound and supported alumoxane or aluminum alkyl activators;
 - (ii) followed by combining an ionizing activator to form the catalyst composition;

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01 FC:103 162.00 CH

SEP 16 2002

PATENT & TRADEMARK OFFICE

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/715,775
Filing Date	November 17, 2000
First Named Inventor	Kao, et al.
Group Art Unit	1713
Examiner Name	Unassigned
Attorney Docket Number	2000U034.US

Total Number of Pages in This Submission 11

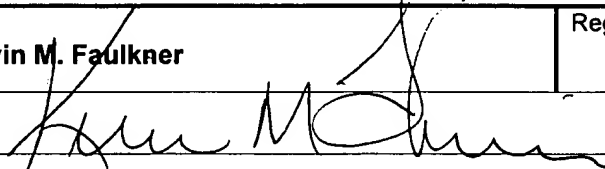
ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Preliminary Amendment / Response
Response to Office Action dated 08/12/2002
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents)
- ☐ Response to Missing Part/ Incomplete Application
- ☐ Response to Missing Parts

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

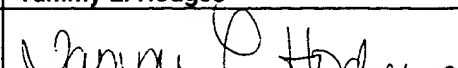
Return Postcard**REMARKS****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Or Individual name	Kevin M. Faulkner	Registration No.	45,427
Signature			
Date	September 9, 2002		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Non-Fee Amendment, Washington, D.C. 20231 on this date:

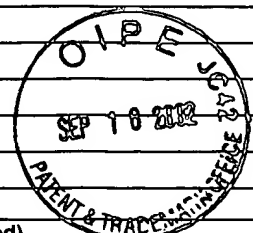
September 9, 2002

Typed or printed name	Tammy L. Hodges	Date	September 9, 2002
Signature			

FEE TRANSMITTAL

Note: Effective November 10, 1998.
Patent fees are subject to annual revision.

Application Number 09/715,775
Filing Date 11/17/2000
Inventors Kao, et al.
Group Art Unit 1713
Examiner Name Lee, Rip A.
Attorney Dock Number 2000U034.US



Total Amount of Payment (\$162.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101 740	Utility filing fee	
106 330	Design filing fee	
107 510	Plant filing fee	
108 740	Reissue filing fee	
114 160	Provisional filing fee	
SUBTOTAL (1)		.00

2. CLAIMS

Extra	Fee from below	Fee Paid
Total Claims 9 - 20 = 9 x 18 =	162.00	
Independent Claims 3 - 3 = 0 x 280.00 =	.00	
Multiple Dependent Claims 0 x 280.00 =	.00	

Large Entity

Fee Code	Fee\$	Fee Description
103 18		Claims in excess of 20
102 84		Independent claims in excess of 3
104 280		Multiple dependent claim
109 84		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		162.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description
105 130		Surcharge - late filing fee or oath
127 50		Surcharge - late provisional filing or cover sheet.
139 130		Non-English specification
147 2,520		For filing a request for reexamination
112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*		Requesting publication of SIR after Examiner action
115 110		Extension for reply within first month
116 400		Extension for reply within second month
117 920		Extension for reply within third month
118 1,440		Extension for reply within fourth month
128 1,960		Extension for reply within fifth month
119 310		Notice of Appeal
120 320		Filing a brief in support of an appeal
121 280		Request for oral hearing
138 1,510		Petition to institute a public use proceeding
140 110		Petition to revive - unavoidable
141 1,280		Petition to revive - unintentional
142 1,280		Utility issue fee (or reissue)
143 460		Design issue fee
144 620		Plant issue fee
122 130		Petition to the Commissioner
123 50		Petitions related to provisional applications
126 180		Submission of information Disclosure Stmt.
581 40		Recording each patent assignment per property (times number of properties)
148 110		Statutory disclaimer

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

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Typed or Printed Name Kevin M. Faulkner

Reg. No. 45,427

Signature

Date

September 9, 2002

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